

## For Export Report

Risk	Risk Description	Risk Owner	Inherent Risk Score	Existing Control Measure	Existing Control Measure Description	Residual Risk Score	Risk Category	Target Risk Level	Action Plan Title	Action Plan Description	Action Plan Owners	Type	Action Date	Review Date
Fylde Borough Council Strategic Risk Register														
Items in Group: 11														
Climate Change	The authority fails to assess the relative significance of climate change risks .	Senior Management Team . (Management team)	12	Working Group established to build up a picture of climate change risks and to mitigate against the risk of these through the creation of a number of carbon reduction policies	Work undertaken to date is outlined above including the design of awareness programmes including social media campaign to encourage residents to consider their energy usage, and promotion of a carbon calculator tool to staff and councillors to ask them to consider their carbon footprint and how they can reduce it.	9	Risks relating to pollution, noise or energy efficiency. Risks relating to Legal/Regulatory requirements	4	Further development of carbon reduction policies	Further programme of work to be brought forward early in 2022 to implement carbon reduction policies in regards to plastics reduction and energy efficiency/fleet	Senior Management Team . (Management team)	Proposed	3/23/2023	6/30/2022
				Sea defenses and flooding work	Sea defense works at Fairhaven completed together with an overview piece of work on flooding across the Borough. Work is also being undertaken to progress a further sea defense work programme for St . Anne's promenade. Drainage engineer resources have also been agreed by the council with a key principal officer post now in place.				Further flood defense work	Once the implementation of all the identified carbon reduction policies has been undertaken to keep the impacts of the policies developed under review and to horizon scan for further climate change risks arising in terms of the Council's operations.	Senior Management Team . (Management team)	In Progress	12/31/2022	
				A number of carbon reduction policies have been developed including draft strategies for tree planting and electric vehicle charging infrastructure . There are a further 2 policies approved for plastic reduction and recycling .	Further discussions are taking place with the leadership board to determine the future direction of travel with respect to this area of work . Members have also agreed, to provide funding for a Fylde Coast project aimed at promoting a community procurement exercise for solar panels. .									
Commercial Strategy	Failure to embrace Commercial Strategy	Allan Oldfield (CEO)	8	Member approval of Commercial Strategy	Member approval and support of the Commercial Strategy	6	Risks impacting on the achievement of corporate objectives and priorities. Risks associated with financial planning & control	4	Review and monitor commercial outcomes	The Commercial Strategy itself will not contain SMART targets. It will set the strategy to inform other strings of work within the council for example the setting of fees and charges.	Allan Oldfield (CEO) Alex Scrivens (Performance and Improvement Manager)	Proposed	10/27/2022	7/26/2022
									Commercial schemes	The strategy itself will not identify schemes but will set the strategy for other workstreams to follow	Marie Percival Alex Scrivens (Performance and Improvement Manager)	Proposed	12/1/2022	
									Commercial Strategy	he Commercial Strategy WG is in the process of reviewing the strategy. There has been one meeting already and another is planned in January 2022. With a revised strategy to be presented to the F&D committee at its March meeting.	Senior Management Team . (Management team)	Proposed	1/19/2023	
COVID 19	Failure to react to the changing service demand due to the recovery of COVID 19 (incorporating our role to support employees / the community / businesses / financial impacts)	Tracy Manning (Director of Resources)	9	Employees	Staff advised to socially distance as far as practicable and to work from home where possible. Risk Assessments undertaken on buildings and Health & Safety measures implemented	4	Risks associated with financial planning & control. Risks associated with the recruiting, retaining and motivating staff & developing skills. Risks relating to operational activity. Risks relating to the reputational risks to the Council	4						6/29/2022
				New guidance issued to staff on what to do if they are feeling unwell. Local authority continues to keep abreast at LCC and National level and is ready to step up its response if necessary going forward.	NHS Living with Covid-19 Posters providing advice on actions to take if unwell displayed around council offices.									
Enforcement	Increased pressure on enforcement resource in relation to licensing / PSPOs / beggars / Travelers / planning issues	Kathy Winstanley (Head of Health and Environment)	8	Inspections / Liaison	Regular inspections of Hardhorn Travellers Site and liaison with residents	6	Risks relating to pollution, noise or energy efficiency. Risks relating to operational activity. Risks relating to the reputational risks to the Council	4	Enforcement and Education	Effectiveness of Enforcement team to be reviewed post season	Kathy Winstanley (Head of Health and Environment)	In Progress	1/19/2023	9/29/2022
				New Enforcement Team	New head of service for environmental health and housing to evaluate the level of resources required for the team , and bring forward proposals .				Enforcement and legal action	2 CPNs served in 2022 in relation to begging. 2 additional being processed. Gator now used to help with Amenity beach enforcement	Chris Hamblly (Environmental Services Manager)	Implemented	11/30/2022	
				Enforcement Team Update	Enforcement team funding approved for additional period. Team running at full strength (6 members 12/05/22)									
				Enforcement policy to be developed	New head of services for environment health and housing to bring forward a draft enforcement policy for consideration .									
Fylde Council / Lancashire County Council	Potential for a unitary council / LCC dissolve during 19/20	Senior Management Team . (Management team)	9	Consultations	Ongoing discussions around a County Deal	6	Risks impacting on the achievement of corporate objectives and priorities. Risks relating to the reputational risks to the Council	6	Statement of Intent	Statement of Intention on participation in County Deal to be considered by Council in the new year	Senior Management Team . (Management team)	Overdue	8/17/2022	8/9/2022
				Engagement	Engagement with Lancashire Leaders discussions and dialogue with potential partners.									
Hacking ,virus, ransomware attack	A hacker gains access to the system(s) and obtains information &/or amends records or transactions etc. Virus attack disabling systems & destroying computer hardware ,software & information. A user falls victim to a phishing or malicious social engineering attack. A malicious threat actor gains access to the network and encrypts or deletes data.	Ross McKelvie (ICT Manager) Stephen Reed (ICT Project Officer)	15	Numerous control measures recorded on the operational risk by owner.	As listed on operational risk in ICT folder	15	Risks associated with technology. Risks relating to operational activity. Risks relating to the reputational risks to the Council. Risks relating to information held. Risks relating to Legal/Regulatory requirements	15	Migration	This risk has been migrated from the operational ICT risk due to the potential disruption of a successful cyber attack. .	Stephen Benson (Corporate Risk Management & Emergency Planning Officer)	Implemented	8/24/2023	8/19/2022
ICT Systems	Failure to support Council priorities through ineffective ICT systems	Gemma Broadley (Head of Corporate Services) Ross McKelvie (ICT Manager)	25	ICT Strategy	ICT Strategy in place	12	Risks associated with financial planning & control. Risks associated with technology. Risks relating to operational activity. Risks relating to information held	4	Network resilience / capacity	To continuously review the Council's reliance and capacity arrangements to ensure that they meet current and future needs.	Gemma Broadley (Head of Corporate Services) Tracy Manning (Director of Resources) Ross McKelvie (ICT Manager) Paul O'Donoghue (Chief Financial and Section 151 Officer)	In Progress	12/1/2022	5/25/2022
				Digital Transformation	Digital Transformation agenda in place									
				Strategic ICT Risk Management Group	A "Strategic ICT Risk Management Group" has been established which meets regularly to review corporate ICT risk issues including cyber security threats, corporate storage and capacity issues, network resilience, and to assess the status of key corporate IT systems and to facilitate upgrades or the purchase of replacement systems as appropriate.				Strategic ICT Risk Management Group	The Strategic ICT Risk Management Group should continue to meet on a regular basis to review strategic ICT risks and implement actions as appropriate.	Gemma Broadley (Head of Corporate Services) Tracy Manning (Director of Resources) Ross McKelvie (ICT Manager)	In Progress	10/28/2022	
Kirkham Futures Regeneration Programme	Failure to deliver the multi-stranded programme within the agreed timeframes	Charlie Richards (Head of Technical Services)	12	Member approval and support for the scheme in principal	Member approval and support for the scheme	9	Risks associated with financial planning & control. Risks relating to the reputational risks to the Council	6	Staffing Resource	Undertake recruitment to provide additional support and resources	Mark Evans (Head of Planning and Regeneration)	Proposed	12/1/2022	6/29/2022
				Programme Board in place	Meets monthly									
				Planning Committee oversee delivery of the programme	Regular reporting to committee				Project delivery plans	Refine and agree project delivery plans for each individual scheme within the programme	Mark Evans (Head of Planning and Regeneration)	Proposed	12/2/2022	
				Quarterly return to Historic England for HAZ	Meets monthly				Budgetary Control	Establish a robust budget protocol to monitor anticipated spend against actual spend	Mark Evans (Head of Planning and Regeneration)	Proposed	11/30/2022	
				HAZ Risk Log	Historic England require that a risk log is maintained as part of the terms of the HAZ grant award									
				Department of Leveling Up, Housing and Communities (DLUHC) monitoring	A spending profile has been agreed with DLUHC with quarterly returns provided to allow them to monitor FHSF expenditure against projected budgets.									
				Staffing Structure Approved	Agreed at Planning Committee									
Management of key assets	Failure to obtain value for money from quality service delivery in the management of buildings and land that the council owns or has interest in .	Darren Bell (Head of Technical Services)	12	Asset Management Strategy	Asset Management Strategy	6	Risks impacting on the achievement of corporate objectives and priorities. Risks associated with financial planning & control	4	The Asset Management Group will be reinstated as a cross departmental forum to support the achievement of priorities wit	This will be established as part of the work on the asset management plan. The asset management group will be engaged with the consultation on the plan preparation	Darren Bell (Head of Technical Services)	In Progress	11/22/2022	7/26/2022
				Experienced Estates Officer	Estates officer in post									
				Commercial Strategy	Commercial Strategy									
Procurement	Failure to achieve value for money / non compliance with Contract Procedure Rules / lack of corporate co-ordination.	Ian Curtis (Head of Governance )	6	Details of capital expenditure in committee reports	Details of capital expenditure in committee reports	4	Risks associated with financial planning & control. Risks relating to operational activity. Risks relating to the reputational risks to the Council	4	Procurement Strategy	Development of a procurement strategy	Ben McCabe (Procurement and Surveillance Camera Officer)	Implemented	12/1/2022	6/6/2022
				External Audit opinion	External Audit favourable value for money opinion									
				Procurement officer monitoring	Dedicated Procurement Officer role within the Governance Team				Establish a cross service procurement group	Procurement Group to review FBC's procurement processes to include Strategy / Guidance Use of the Chest Compliance with Standing Orders / Regulations Value for Money Contracts Register	Ben McCabe (Procurement and Surveillance Camera Officer)	Proposed	12/1/2022	
Recruitment / Retention and Succession Planning	Failure to have a skilled workforce to deliver council priorities	Alex Scrivens (Performance and Improvement Manager)	12	Job Evaluation process	Job Evaluation process	12	Risks associated with the recruiting, retaining and motivating staff & developing skills. Risks relating to the reputational risks to the Council	6	Recruitment Policy	Development of Recruitment Policy to standardise the process incorporating a review of job descriptions and personnel specifications	Alex Scrivens (Performance and Improvement Manager)	Proposed	1/1/2023	7/4/2022
				Apprenticeships	Use of apprentices throughout the authority									
				Appraisal process	Annual appraisal process									
				Competency Framework	Core competency framework									
									Apprentice Strategy	Development of an Apprentice Strategy	Alex Scrivens (Performance and Improvement Manager)	Proposed	12/1/2022	
									Review and rebrand the Competency Framework (incorporating corporate values)	Review and rebrand the Competency Framework (incorporating corporate values)	Alex Scrivens (Performance and Improvement Manager)	Overdue	9/20/2022	