

Fylde Council - Strategic Risk Register 2019.20

Risk	Risk Description	Inherent Risk Score	Existing Control Measure	Existing Control Measure Description	Residual Risk Score	Action Plan Title	Action Plan Description	Action Date	Target Risk Level
Commercial Strategy	Failure to deliver the Commercial Strategy	16	Member approval and support of the Commercial Strategy	Member approval and support of the Commercial Strategy	12	Member training	Member training to raise awareness of opportunities and risks / developing a commercial culture and appetite	31/03/2020	4
						Review and monitor commercial outcomes	Establish SMART measures and reporting mechanism	31/03/2020	
						Commercial schemes	Identify schemes which are commercially viable and opportunities to maximise income streams (existing and new)	31/03/2020	
Enforcement	Increased pressure on enforcement resource in relation to licensing / PSPOs / street beggars	9	Enforcement activity	Limited enforcement activity on high profile areas	9	Environmental Protection	Undertake a review of the roles within Environmental Protection to create greater 'on the ground' enforcement	30/09/2019	4
			Additional funding identified	Additional funding identified for BBQ enforcements		Corporate Enforcement Group	Enforcement officers from across the council establish a network / group to share ideas and resource including officers 'out and about' who can report matters	31/08/2019	
						Enforcement Team	Explore options for a corporate Enforcement Team incorporating all aspects of enforcement. As a minimum regular liaison between enforcement roles.	31/03/2020	
Fylde Council / Lancashire County Council	Potential for a unitary council / LCC dissolve during 19/20	9	Consultations	Participation in consultation process	6	No Actions needed - Risk at acceptable level			6
ICT Systems	Failure to support Council priorities through ineffective	16	ICT Strategy	ICT Strategy in place	16	GM / DH to meet with RM / POD to undertake a review of the current systems	Undertake a review of current systems, determine the needs of the authority and identify solutions	31/03/2020	6
			Digital Transformation	Digital Transformation agenda in place					

	ICT systems					POD to meet with RM to formulate an action plan to present to SMT	Action plan to be compiled and presented to SMT	31/03/2020	
Infrastructure	Lack of infrastructure in local areas to meet residents needs	9	Local Plan	Local Plan approved	4	No Actions needed - Risk at acceptable level			4
			Planning Conditions and S106 Agreements	Planning Conditions and S106 Agreements					
LCC Funding Cuts	Funding cuts impacting upon FBC residents	12	Relationships / Consultations	Respond to consultations regarding future changes which impact upon residents	12	No Actions needed - Risk at acceptable level			12
			Horizon scanning	Keep abreast of developments and the impact it may potentially have on local residents					
			Service deliver	Ensure that FBC has a responsive / flexible services to meet the changing needs					
Management of key assets	Failure to manage council owned key assets	12	Asset Management Strategy	Asset Management Strategy	6	No Actions needed - Risk at acceptable level			6
			Experienced Estates Officer	Estates officer in post					
			Commercial Strategy	Commercial Strategy					
New Council	New inexperienced members elected to the Council	15	Briefings	Member / office briefings with SMT / Leadership Board	6	No Actions needed - Risk at acceptable level			6
			Committee Chair Briefings	Regular chair briefings prior to committee meetings					
			Induction programme	Comprehensive induction programme following the election results					
			Governance Structure	Statutory officers in post advising members					
			Member Development Programme	Member Development Programme					
	Failure to achieve value for money / non compliance with Contract Procedure		Details of capital expenditure in committee reports	Details of capital expenditure in committee reports		Procurement officer / training	Continue to develop internal resource / investment in procurement officer	31/03/2020	

Procurement	with Contract Procedure Rules / lack of corporate co-ordination / missed opportunities	9	External Audit opinion	External Audit favourable value for money opinion	9	Procurement Strategy	Review of the procurement strategy and produce easy to use guidance - procurment on a page based on spend.	31/12/2019	4
Recruitment / Succession Planning	Failure to have a skilled workforce to deliver council priorities	16	Job Evaluation process	Job Evaluation process	12	Recruitment Policy	Revise recruitment policy and guide to standardise processes and change to job roles rather than descriptions / include competency based assessment	31/03/2020	6
			Apprenticeships	Use of apprentices throughout the authority		Apprentice Strategy	Development of an Apprentice Strategy	31/03/2020	
			Appraisal process	Annual appraisal process		Review and rebrand the Competency Framework (incorporating corporate values)	Review, rebrand (rename) and reinforce the Competency Framework, incorporating values.	31/10/2019	
			Competency Framework	Core competency framework					