

## INTERNAL AUDIT PLAN AT AT 30TH AUGUST 2019

| FYLDE COUNCIL                               | Est Days   | Total used   | Balance      | Comments                 | Assurance Rating |
|---|------------|--------------|--------------|--------------------------|------------------|
| <b>CORPORATE AREAS</b>                      |            |              |              |                          |                  |
| Annual Governance Statement                 | 15         | 14.3         | 0.7          | 2019 complete            | Not applicable   |
| Anti-Fraud & Corruption                     | 10         | 3.6          | 6.4          | On-going                 | Not applicable   |
| NFI   | 5          | 0.1          | 4.9          | Submission of data - Q3  | Not applicable   |
| <b>CORPORATE SERVICES</b>                   |            |              |              |                          |                  |
| Performance Management - Data Quality       | 15         | 17.1         | -2.1         | Complete                 | Substantial      |
| Project Management                          | 15         | 0.2          | 14.8         | To commence Q3           |                  |
| Risk Management                             | 15         | 0            | 15           | To commence Q3           |                  |
| Payroll                                     | 10         | 0            | 10           | To commence Q4           |                  |
| <b>RESOURCES</b>                            |            |              |              |                          |                  |
| <b>Heath &amp; Environment</b>              |            |              |              |                          |                  |
| <b>Environmental Health</b>                 |            |              |              |                          |                  |
| Environmental Permitting Regulations        | 10         | 14.7         | -4.7         | Complete                 | Limited          |
| <b>Fleet and Depot</b>                      |            |              |              |                          |                  |
| Fuel Consumption                            | 15         | 1.4          | 13.6         | In progress              |                  |
| <b>Governance</b>                           |            |              |              |                          |                  |
| General Data Protection Regulations         | 5          | 0            | 5            | To commence Q3           |                  |
| Data sharing protocols                      | 15         | 0            | 15           | To commence Q4           |                  |
| Contract Procedure Rules                    | 15         | 0            | 15           | To commence Q4           |                  |
| <b>Finance and Service Support</b>          |            |              |              |                          |                  |
| NNDR  | 15         | 0.6          | 14.4         | To commence Q3           |                  |
| Council Tax                                 | 15         | 0.5          | 14.5         | To commence Q3           |                  |
| <b>Finance</b>                              |            |              |              |                          |                  |
| Sundry Debtors                              | 15         | 4.8          | 10.2         | In progress              |                  |
| VAT   | 15         | 0            | 15           | To commence Q3           |                  |
| <b>ICT</b>                                  |            |              |              |                          |                  |
| TBD   | 10         | 0.3          | 9.7          | To commence Q3           |                  |
| TBD   | 10         | 0            | 10           | To commence Q4           |                  |
| <b>DEVELOPMENT SERVICES</b>                 |            |              |              |                          |                  |
| <b>Parks, Leisure and Cultural Services</b> |            |              |              |                          |                  |
| Events                                      | 15         | 1.2          | 13.8         | To commence Q3           |                  |
| Heritage Assets                             | 15         | 16.9         | -1.9         | Draft report             |                  |
| Fairhaven (HLF )                            | 10         | 1.8          | 8.2          | Project team involvement |                  |
| <b>Technical Services</b>                   |            |              |              |                          |                  |
| Commerical Properties                       | 15         | 16.1         | -1.1         | Complete                 | Limited          |
| Coastal Defence Project                     | 10         | 4.7          | 5.3          | Project team involvement |                  |
| Energy Management                           | 15         | 17.2         | -2.2         | Complete                 | Substantial      |
| <b>Planning &amp; Regeneration</b>          |            |              |              |                          |                  |
| Homelessness / Homeless prevention          | 10         | 8.1          | 1.9          | In progress              |                  |
| Development Management                      | 15         | 1.1          | 13.9         | To commence Q3           |                  |
| <b>GENERAL AREAS</b>                        |            |              |              |                          |                  |
| Post Audit Reviews                          | 10         | 3.7          | 6.3          | On-going                 | Not applicable   |
| Contingency / Irregularities                | 20         | 1.6          | 18.4         | On-going                 | Not applicable   |
| Residual work                               | 15         | 18.8         | -3.8         | Complete                 | Not applicable   |
| Internal Audit effectiveness                | 5          | 7            | -2           | Complete                 | Not applicable   |
| GRACE (administratior role)                 | 10         | 5.6          | 4.4          | On-going                 | Not applicable   |
| Committee Reporting / Effectiveness Review  | 15         | 7.2          | 7.8          | On-going                 | Not applicable   |
| <b>TOTALS</b>                               | <b>405</b> | <b>168.6</b> | <b>236.4</b> |                          |                  |