

Fylde Council - Strategic Risk Register 2020-21.

Risk	Risk Description	Inherent Risk Score	Existing Control Measure	Existing Control Measure Description	Residual Risk Score	Action Plan Title	Action Plan Description	Action Date	Target Risk Level
Commercial Strategy	Failure to embrace Commercial Strategy	16	Member approval of Commercial Strategy	Member approval and support of the Commercial Strategy	12	Member training	Member training to raise awareness of opportunities and risks / developing a commercial culture and appetite	31/03/2021	4
						Review and monitor commercial outcomes	Establish SMART measures and reporting mechanism	31/03/2021	
						Commercial schemes	Identify schemes which are commercially viable and opportunities to maximise income streams (existing and new)	31/03/2021	
Enforcement	Increased pressure on enforcement resource in relation to licensing / PSPOs / beggars / Travellers / planning issues	9	Enforcement activity	Limited enforcement activity on high profile areas	9	Enforcement Group	Enforcement officers from across the council establish a network / group to share ideas and resource including officers 'out and about' who can report matters	31/03/2021	4
			Additional funding identified	Additional funding identified for BBQ enforcements		Enforcement Team	Explore options for a dedicated Enforcement Team incorporating all aspects of enforcement. As a minimum regular liaison between enforcement roles.	31/03/2021	
			District Enforcement	District Enforcement (private environmental crime specialists), patrolling any area of land that is open to public access, including areas such as town centres and environmental hotspots. The Environmental Crime Officers are specialists in their field and will issue an FPN (fixed penalty notice) to anyone they observe, or have evidence to support, has committed an environmental crime concerned with littering or dog control.		Enforcement Policy	In light of the COVID pandemic the Enforcement Policy is to be reviewed taking into consideration the views of the Town Centre Working Group and the Chairs / Vice Chairs of the Planning Committee	31/03/2021	
			Inspections / Liaison	Regular inspections of Hardhorn Travellers Site and liaison with residents		Environmental Protection Team	New structure within Environmental Protection Team however roles are still to be filled	31/03/2021	

						Legal Action	Consideration of the commencement of legal action in instances whereby enforcement has not been successful and the evidential and public interest tests are met	31/2/2021	
Fylde Council / Lancashire County Council	Potential for a unitary council / LCC dissolve during 20/21. White paper expected Autumn 21	9	Consultations	Participation in consultation process	6				6
			Engagement	Engagement with Lancashire Leaders discussions and dialogue with potential partners.					
ICT Systems	Failure to support Council priorities through ineffective ICT systems	16	ICT Strategy	ICT Strategy in place	9	Review of the current systems	A strategic group has been established which is led by the two Director’s together with the Section 151 Officer. Work has been undertaken on the procurement of some lower level ICT systems such as an estates management system and a procurement exercise is underway for a new planning and building control system	30/09/2020	6
			Digital Transformation	Digital Transformation agenda in place		Network resilience / capacity	To undertake a review to ensure that the Council's reliance and capacity arrangements meet future needs.	31/03/2021	
Infrastructure	Lack of infrastructure in local areas to meet residents needs	9	Local Plan	Local Plan approved	4				4
			Planning Conditions and S106 Agreements	Planning Conditions and S106 Agreements		No Actions needed - Risk at acceptable level			
			Relationships / Consultations	Respond to consultations regarding future changes which impact upon residents					

LCC Funding Cuts	Funding cuts impacting upon FBC residents	12	Horizon scanning	Keep abreast of developments and the impact it may potentially have on local residents	12	No Actions needed - Risk at acceptable level			12
			Service delivery	Ensure that FBC has a responsive / flexible services to meet the changing needs					
Management of key assets	Failure to manage council owned key assets	12	Asset Management Strategy	Asset Management Strategy	6	No Actions needed - Risk at acceptable level			6
			Experienced Estates Officer	Estates officer in post					
			Commercial Strategy	Commercial Strategy					
Procurement	Failure to achieve value for money / non compliance with Contract Procedure Rules / lack of corporate co-ordination / missed opportunities	9	Details of capital expenditure in committee reports	Details of capital expenditure in committee reports	6	Procurement Strategy	Development of a procurement strategy	31/03/2021	4
			External Audit opinion	External Audit favourable value for money opinion		Establish a cross service procurement group	Procurement Group to review FBC's procurement processes to include Strategy / Guidance Use of the Chest Compliance with Standing Orders / Regulations Value for Money Contracts Register	31/03/2021	
			Procurement officer in post	Dedicated Procurement Officer role within the Governance Team					
			Job Evaluation process	Job Evaluation process		Recruitment Policy	Development of Recruitment Policy to standardise the process incorporating a review of job descriptions and personnel specifications	31/03/2021	

Recruitment / Succession Planning	Failure to have a skilled workforce to deliver council priorities	16	Apprenticeships	Use of apprentices throughout the authority	12	Apprentice Strategy	Development of an Apprentice Strategy	31/03/2021	6
			Appraisal process	Annual appraisal process		Review and rebrand the Competency Framework (incorporating corporate values)	Review and rebrand the Competency Framework (incorporating corporate values)	31/03/2021	
			Competency Framework	Core competency framework					
COVID 19	Failure to react to the changing service demand due to the recovery of COVID 19 (incorporating our role to support employees / the community / businesses / financial impacts)		Government guidance	Adherence to both national and LRF guidance	9	Guidance	Guidance to be kept under continuous review and appropriate actions taken	31/03/2021	
			Community Hub	Setting up of Community Hubs to support the vulnerable in society		Community Hub	Community Hub to remain operational until advised to cease service by Government / LRF	31/03/2021	
			Business Support	Delivery of both the Business Support and Discretionary Grant to support the local economy and advisory visits and information provided to businesses upon re-opening		Business Support	Continue to provide reactive support to the business community in light on any new regs / guidance from Govt	31/03/2021	
			Employees	Staff advised to socially distance as far as practicable and to work from home where possible. Risk Assessments undertaken on buildings and Health & Safety measures implemented		Employees	Keep under review any guidance / feedback etc to ensure that employees feel confident to return to the workplace.	31/03/2021	
						Employees	Undertake a review of working practices going forwards and establish proposals / applications for continued home working to be assessed by the CEO / Directors.	31/03/2021	
			Communications	Use of all forms of media coverage to enforce key messages to officers , members and the wider public and business community		Communications	Keep guidance / regulations from govt / professional bodies / partner agencies under constant review to ensure key messages are relayed as appropriate.	31/03/2021	