

For Export Report

| Risk | Risk Description | Risk Owner | Inherent Risk Score | Existing Control Measure | Existing Control Measure Description | Residual Risk Score | Risk Category | Target Risk Level | Action Plan Title | Action Plan Description | Action Plan Owners | Type | Action Date |
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Fylde Borough Council Strategic Risk Register

Items in Group: 9

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| Rural swimming Provision - Kirkham Pool | Un-budgeted financial implications and undefined scope of the council resolution are potentially a significant risk | Senior Management Team | 16 | Report to exec approving the first release of funding for emergency maintenance | Initial £30,000 approved by committee, additional funds of £25,000 to be vired from unallocated COVID budget. | 20 | Risks associated with financial planning & control Risks relating to operational activity | 16 | Borough wide survey by Active Lancashire | To understand the level of demand and existing provision for swimming and leisure facilities in the Borough. | Gemma Broadley (Head of Corporate Services) | In Progress | 7/24/2024 |
| | | | | Report to scrutiny on swimming provision | To keep elected members updated | | | | Additional resource has been allocated to oversee the work required. | Temporary additional officer resource to coordinate all activity (Paul Walker). Existing resources adjusting work priorities to provide support, | Senior Management Team | Implemented | 8/21/2024 |
| | | | | Weekly engagement between lead members and officers | To keep members informed of progress and issues as well as providing a steer to officers on work related to the resolution. | | | | | | | | |
| | | | | On going engagement with all partners and stakeholders . | Co-ordinate the various strands of work under way and keep all parties informed. | | | | | | | | |
| Climate Change | The authority fails to assess the relative significance of climate change risks and the impact of multiple extreme weather events on the Fylde coast. | Senior Management Team | 12 | Sea defenses and flooding work | Sea defense works at Fairhaven completed together with an overview piece of work on flooding across the Borough. | 9 | Risks impacting on the achievement of corporate objectives and priorities Risks relating to pollution, noise or energy efficiency Risks relating to Legal/Regulatory requirements | 4 | Further development of carbon reduction policies | Climate Change aspirations to be reset in 2024 to 2028 corporate plan. | Senior Management Team | Proposed | 3/22/2024 |
| | | | | A number of carbon reduction polices have been developed including strategies for tree planting, electric vehicle charging infrastructure, plastic reduction and recycling. The council's Leadership Board and Management Team have also developed an action plan capturing council wide activities to support carbon reduction. A baseline study has been undertaken . | A Climate Change Action Plan has been developed by the Leadership Board together with the Senior Management Team. More recently this has been considered by one of the council's scrutiny committees. | | | | Further development of awareness programme. | Once the implementation of all the identified carbon reduction policies has been undertaken to keep the impacts of the policies under review and to horizon scan for further climate change risks arising in terms of the Council's operations. | Senior Management Team | In Progress | 3/28/2024 |
| | | | | Flood and surface water management | Flood and surface water management plan developed and more recently this has been considered by one of the council's scrutiny committees. | | | | | | | | |
| ICT Systems | Legacy and not fit for purpose systems | Gemma Broadley (Head of Corporate Services) | 25 | ICT Strategy | ICT Strategy in place | 12 | Risks associated with financial planning & control | 4 | Network resilience / capacity | To continuously review the Council's reliance and capacity | Senior Management Team | In Progress | 7/19/2024 |

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| | | Ross McKelvie (ICT Manager) | | Senior Management Team | Management team keep under review issues including cyber security threats, corporate storage and capacity issues, network resilience, and to assess the status of key corporate IT systems and to facilitate upgrades or the purchase of replacement systems as appropriate. | | | | and capacity arrangements to ensure that they meet current and future needs. | | | |
| | | | | | | | | Civica Flare (housing and env services) | The next system that poses an immediate risk in this space is Civica Flare (housing and env services) which is end-of-life, but on extended vendor support (security updates only). With a new system now provided and being implemented . | Ross McKelvie (ICT Manager) | Proposed | 3/14/2024 |
| Kirkham Futures Regeneration Programme | This is a multi-facetted project with various workstreams . Specific elements of the risks are logged within the Futures High Street Fund Projects operational risk . The overarching risk is delivering the multi-stranded programme within the agreed timeframes and within budget forecast . | Charlie Richards (Head of regeneration projects) | 16 | Member approval and support for the scheme in principal | Member approval and support for the scheme | 16 | 6 | Staffing Resource | Undertake recruitment to provide additional support and resources | Charlie Richards (Head of regeneration projects) | In Progress | 10/29/2024 |
| | | | | Project Board in place | Meets monthly | | | Project delivery plans | Refine and agree project delivery plans for each individual scheme within the programme | Charlie Richards (Head of regeneration projects) | In Progress | 11/22/2024 |
| | | | | Planning Committee oversee delivery of the programme | Regular reporting to committee | | | Budgetary Control | Establish a robust budget protocol to monitor anticipated spend against actual spend | Charlie Richards (Head of regeneration projects) | In Progress | 5/31/2024 |
| | | | | Quarterly return to Historic England for HAZ | Meets monthly | | | | | | | |
| | | | | HAZ Risk Log | Historic England require that a risk log is maintained as part of the terms of the HAZ grant award | | | | | | | |
| | | | | Department of Levelling Up, Housing and Communities (DLUHC) | A spending profile has been agreed with DLUHC with quarterly returns provided to allow them to monitor FHSF expenditure against projected budgets. | | | | | | | |
| | | | | Staffing Structure Approved | Agreed at Planning Committee | | | | | | | |
| | | | | Procurement | All procurement is in line with council procedures | | | | | | | |
| Leisure provision St Annes Pool | The condition of the building and the pool management system present a major risk to the ongoing operation of the facility. The tender for a future operator of the facility may not attract any bids or economically unviable bids. | Senior Management Team | 20 | Holding regular review meetings with the current operator to monitor and jointly manage pool system and building. | Commitment from YMCA and Fylde to meet essential capital works. | 16 | 12 | Current funding bids | Sport England Revenue funding bid, the bid is to subsidies the increase in energy costs during the energy crisis | Alex Scrivens (Performance and Improvement Manager) | Implemented | 4/6/2024 |
| | | | | Engage with potential operators in the market | To inform and develop the tender specification for future operation of the facility from 2025. | | | Capital funding bid developed to increase the efficiency/runnig costs at St Annes swimming pool. | Capital funding bid is in excess of £100K . The bid is to be used specifically to subsidies energy in the swimming pool only, | Darren Bell (Head of Technical Services) | In Progress | 3/8/2024 |
| | | | | Capital funding grant application submitted | Funding bid submitted for work related to the pool management system - sustainability and improvement | | | | | | | |
| | | | | Engagement with | Provide lead elected members | | | | | | | |

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| | | | | the Leadership Board | with updated information on the challenges in keeping the facility operational. Understanding the impact on council finances and relationship to other works such as St Annes Master Plan. | | | Capital monies | Monies drawn down from FBC capital program for repair and maintenance of plant equipment . | Darren Bell (Head of Technical Services) | In Progress | 10/30/2024 | |
| | | | | | | | | Proactively scan for potential funding options. | There may be additional Sport England funding streams for facility improvements . | Alex Scrivens (Performance and Improvement Manager) | Proposed | 3/21/2024 | |
| Management of key assets | Failure to obtain value for money from quality service delivery in the management of buildings and land that the council owns or has interest in . | Darren Bell (Head of Technical Services) | 12 | Asset Management Strategy | Asset Management Strategy | 6 | Risks impacting on the achievement of corporate objectives and priorities Risks associated with financial planning & control | 4 | The Asset Management Group will be reinstated as a cross departmental forum to support the achievement of priorities with | This will be established as part of the work on the asset management plan. The asset management group will be engaged with the consultation on the plan | Darren Bell (Head of Technical Services) | In Progress | 6/1/2024 |
| | | | | Commercial Strategy | Commercial Strategy | | | | A new Asset Management Plan will be developed in consultation with the re-formed Asset Management Group. The Asset Manag | Work on drafting the asset management plan has been started. the group has been set up and the plan will be drafted and recommended for adoption. | Darren Bell (Head of Technical Services) | In Progress | 6/14/2024 |
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| Public Reform Event – Transforming Public Sector Procurement | Changes are coming to the Public Contract Regulations 2015, these are the external procurement rules which come into force at certain thresholds | Ian Curtis (Head of Governance) Ben McCabe (Procurement and Surveillance Camera Officer) | 9 | Details of capital expenditure in committee reports | Details of capital expenditure in committee reports | 4 | Risks associated with financial planning & control Risks relating to operational activity Risks relating to the reputational risks to the Council | 4 | Objectives of the new Procurement Bill Achieving value for money | Early market engagement is not just permissible but is encouraged. Reduction of procedures and more flexibility e.g. Open Procedure or Competitive Flexible | Ben McCabe (Procurement and Surveillance Camera Officer) | Proposed | 2/28/2024 |
| | | | | External Audit opinion | External Audit favourable value for money opinion | | | | How we should be preparing for the Procurement Bill | Review procurement strategy, contract procedure rules and guide to buying for the Council Review standard procurement documentation Review/create procurement pipeline for the next 18 months of activity Two-way interaction with suppliers about the upcoming changes Assess capacity/capability of current Procurement function and | Ben McCabe (Procurement and Surveillance Camera Officer) | In Progress | 3/20/2024 |
| | | | | Procurement officer | Dedicated Procurement Officer role within the Governance Team | | | | | | | | |
| | | | | Briefing on procurement changes | Briefing note providing overview of impending changes to procurement process . | | | | | | | | |

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| | | | | | | | | On line training | Online training and material will be available at; https://www.govcommercialcollege.co.uk/ | Ben McCabe (Procurement and Surveillance Camera Officer) | Proposed | 9/25/2024 | |
| Recruitment / Retention and Succession Planning | Failure to recruit and retain a skilled workforce which enables the Authority to deliver council priorities. Harder to recruit new employees at current salary levels | Alex Scrivens (Performance and Improvement Manager) | 12 | Job Evaluation process | Job Evaluation process | 12 | Risks associated with the recruiting, retaining and motivating staff & developing skills Risks relating to the reputational risks to the Council | 6 | Review and rebrand the Competency Framework (incorporating corporate values) | Review and rebrand the Competency Framework (incorporating corporate values) | Alex Scrivens (Performance and Improvement Manager) | Proposed | 3/22/2024 |
| | | | | Fylde Apprenticeship Strategy | Use of apprentices throughout the authority, | | | | Development of Fylde Apprenticeship Strategy. | Review/condense strategy – Speak to Blackpool to piggyback onto mentoring and management - Build regular contact relations with the local colleges - | Alex Scrivens (Performance and Improvement Manager) | In Progress | 2/23/2024 |
| | | | | Appraisal process | Annual appraisal process | | | | | | | | |
| | | | | Competency Framework | Core competency framework | | | | | | | | |
| | | | | Business Critical Posts: Assessment Process- Fylde succession planning , | Succession Planning Strategy 2021-2024 currently being drafted . Further discussions with SMT to consider business critical posts , career aspirations of employees and identification of skills gaps to be considered through the service planning process . •Build into Business Continuity documentation - | | | | | | | | |
| Staff engagement survey | SMT are asking for feedback from employees and acting on any issues flagged to resolve problems | | | Marketing FBC to support the onboarding and recruitment programme | Paid social media and advertising to engage with specialist groups, such as technical areas (building engineers) | Alex Scrivens (Performance and Improvement Manager) | In Progress | 9/25/2024 | | | | | |
| | | | | | | | | Recruitment and retention action plan | Various actions to update policies and procedures to attract new candidates | Alex Scrivens (Performance and Improvement Manager) | In Progress | 4/10/2024 | |

Review Date

1/29/2024

1/30/2024

1/30/2024

1/30/2024

1/29/2024

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