

QUALITY AND IMPROVEMENT PROGRAMME - ACTION PLAN 2017/18

Action	Resolution Date	Status Sept 17	Comment
1. In communicating with senior management, internal audit's key skills will be promoted and opportunities for adding greater value actively sought.	March 2018	In progress	Internal audit's key skills have been actively promoted, and where possible opportunities for adding greater value have been pursued where possible
2. An assurance framework will be developed along with management, to encompass the identification of the various sources of assurance for each audit activity.	March 2018	In progress	Basic assurance mapping is currently being carried out to identify sources of assurance, which are documented on a spreadsheet
3. The relevant section of the Internal Audit Strategy will be expanded to more properly reflect the range of developmental opportunities available to and utilised by internal auditors.	March 2018	Completed	The relevant section of the Internal Audit Strategy has been expanded to more properly reflect the range of developmental opportunities available
4. A consistent process for sharing plans with external auditors will be re-established to ensure proper coverage and to minimise duplication of effort.	March 2018	Completed	A process has been developed for sharing plans with external auditors
5. Risks, including those relating to fraud, will be identified at the scoping stage of audit reviews and will be prioritised according to severity. The associated controls will be identified and tested.	March 2018	Completed	Risks, including those relating to fraud, are identified at the scoping of audit reviews and are prioritised according to severity
6. Closer working with the BPR team will be sought to ensure controls are properly incorporated into new process re-design activities.	March 2018	In progress	We have sought closer working with the BPR team
7. The development of service risk registers will be actively sought as part of the development of the risk management framework and to facilitate a risk based approach to audit.	March 2018	In progress	We are working with relevant managers to encourage the development of meaningful service risk registers