

Fylde Council - Strategic Risk Register 2021-22 (DRAFT)

Risk	Risk Description	Inherent Risk Score	Existing Control Measure	Existing Control Measure Description	Residual Risk Score	Action Plan Title	Action Plan Description	Action Date	Target Risk Level
Commercial Strategy	Failure to embrace Commercial Strategy	16	Member approval of Commercial Strategy	Member approval and support of the Commercial Strategy	12	Commercial Strategy	Commercial Strategy will be revised as defined in the Council's Coronavirus Recovery Plan	30/09/2021	4
						Member Training	Member training to raise awareness of opportunities and risks / developing a commercial culture and appetite	31/03/2022	
						Review and Monitor Commercial Outcomes	Establish SMART measures and reporting mechanism	31/03/2022	
						Commercial schemes	Identify schemes which are commercially viable and opportunities to maximise income streams (existing and new)	31/03/2022	
Enforcement	Increased pressure on enforcement resource in relation to licensing / PSPOs / beggars / Travellers / planning issues	9	New Enforcement Team	The Council's arrangement with District Enforcement came to a close on December 25 th 2020. Members took a view that the approach of zero-tolerance through the issuing of fixed penalty notices should be replaced with an in-house service focused on education first, with enforcement to be used as a latter tool. A report is being taken forward to the 16 th March 2021 Operational Management Committee proposing a new enforcement team and setting the framework for this.	6	Education & Enforcement	To establish a new enforcement team, review its effectiveness and report results to the Operational Management Committee at its meeting in November.	30/11/2021	4
			Inspections / Liaison	Regular inspections of Hardhorn Travellers Site and liaison with residents					
Fylde Council / Lancashire County Council	Potential for a unitary council / LCC dissolve during 20/21. White paper expected Autumn 21	9	Consultations	Participation in consultation process	6				6
			Engagement	Engagement with Lancashire Leaders discussions and dialogue with potential partners.					

ICT Systems	Failure to support Council priorities through ineffective ICT systems	16	ICT Strategy	ICT Strategy in place	9	Review of the current systems	The Strategic Group which is led by the two Directors together with the Section 151 Officer meets on a regular basis. During 2020/21 the procurement of a new planning system was completed and this is now at the implementation stage and this will run into 2021/22. An estates management system has also been procured. The Group will continue to meet throughout the year.	31/03/2022	6
			Digital Transformation	Digital Transformation agenda in place		Network resilience / capacity	To undertake a review to ensure that the Council's reliance and capacity arrangements meet future needs.	31/03/2022	
Infrastructure	Lack of infrastructure in local areas to meet residents needs	9	Local Plan	Local Plan approved	4	No Actions needed - Risk at acceptable level			4
			Planning Conditions and S106 Agreements	Planning Conditions and S106 Agreements					
LCC Funding Cuts	Funding cuts impacting upon FBC residents	12	Relationships / Consultations	Respond to consultations regarding future changes which impact upon residents	12	No Actions needed - Risk at acceptable level			12
			Horizon scanning	Keep abreast of developments and the impact it may potentially have on local residents					
			Service delivery	Ensure that FBC has a responsive / flexible services to meet the changing needs					
Management of key assets	Failure to manage council owned key assets	12	Asset Management Strategy	Asset Management Strategy	6	No Actions needed - Risk at acceptable level			6
			Experienced Estates Officer	Estates officer in post					
			Commercial Strategy	Commercial Strategy					

Procurement	Failure to achieve value for money / non compliance with Contract Procedure Rules / lack of corporate co-ordination / missed opportunities	9	Details of capital expenditure in committee reports	Details of capital expenditure in committee reports	6	Procurement Strategy	Review of Procurement Strategy to be completed during the course of the year. This will build upon work already completed by the newly appointed Procurement Officer – work completed to date is a revision of the contract procure rules together with a revision to the contracting and tendering guide.	31/03/2022	4
			External Audit opinion	External Audit favourable value for money opinion		Establish a cross service procurement group	Procurement Group to review FBC's procurement processes to include Strategy / Guidance Use of the Chest Compliance with Standing Orders / Regulations Value for Money Contracts Register	31/03/2022	
			Procurement Officer	Procurement officer in post					
Recruitment / Succession Planning	Failure to have a skilled workforce to deliver council priorities	16	Job Evaluation process	Job Evaluation process	12	Recruitment Policy	Development of Recruitment Policy to standardise the process incorporating a review of job descriptions and personnel specifications	31/03/2022	6
			Apprenticeships	Use of apprentices throughout the authority		Apprentice Strategy	Development of an Apprentice Strategy	31/03/2022	
			Appraisal process	Annual appraisal process		Review and rebrand the Competency Framework (incorporating corporate values)	Review and rebrand the Competency Framework (incorporating corporate values)	31/03/2022	
			Competency Framework	Core competency framework					

COVID 19	Failure to react to the changing service demand due to the recovery of COVID 19 (incorporating our role to support employees / the community / businesses / financial impacts)	25	Government guidance	Adherence to both national and LRF guidance	9	Guidance	Guidance to be kept under continuous review and appropriate actions taken	30/06/2021	
			Community Hub	Setting up of Community Hubs to support the vulnerable in society		Community Hub	Community Hub to remain operational until advised to cease service by Government / LRF	30/06/2021	
			Business Support	Delivery of both the Business Support and Discretionary Grant to support the local economy and advisory visits and information provided to businesses upon re-opening		Business Support	Continue to provide reactive support to the business community in light on any new regs / guidance from Govt	30/06/2021	
			Employees	Staff advised to socially distance as far as practicable and to work from home where possible. Risk Assessments undertaken on buildings and Health & Safety measures implemented		Employees	Keep under review any guidance / feedback etc to ensure that employees feel confident to return to the workplace.	30/06/2021	
						Employees	Undertake a review of working practices going forwards and establish proposals / applications for continued home working to be assessed by the CEO / Directors.	30/06/2021	
			Communications	Use of all forms of media coverage to enforce key messages to officers , members and the wider public and business community		Communications	Keep guidance / regulations from govt / professional bodies / partner agencies under constant review to ensure key messages are relayed as appropriate.	30/06/2021	
			Coronavirus Recovery Plan	The Council has developed and is implementing a coronavirus recovery plan which is supported by the Leadership Board, pending formal approval by members.					